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**To:** Distribution List

Subject: January 2021 AAR Circular Letter Summary

The January 2021 summary includes the (15) circular letters issued during the month.

This document provides a summary of each Association of American Railroads ("AAR") circular letter issued during the previous month. A circular letter provides information of general applicability to the railroad industry, including proposed and final rules, standards, and recommended practices. Circulars are a fee-based service provided by AAR's Transportation Technology Center, Incorporated ("TTCI") located in Pueblo, Colorado. You may sign up to receive AAR circular letters online. If you need further assistance with access to circulars, please send any future questions and issues to Pubs@aar.com.

The Field Manual of the AAR Interchange Rules, Rule 1, b. (11), requires maintaining a copy of each "mandatory circular letter" that revises an "Interchange Rule" or the "Manual of Standards and Recommended Practices." For your convenience, this document identifies mandatory circular letters. For those circulars that propose or implement final changes to an existing rule, standard, or recommended practice, this document provides an impact statement to car owners, lessors, mechanical shops, and railroad operations.

The following matrix identifies the AAR circular letter number, a summary of the circular, any effective date of a rule, standard, or recommended practice, any comment due date, an impact statement, and to the extent it applies, if the circular letter is mandatory.

If you have any questions, please contact Tom DeLafosse at 630.512.7595 or tom.delafosse@salcoproducts.com.

Circular No.	Subject and Summary	Impact Statement	
	Implemented Changes, Effective the Date of Circular		
C-13727 Dec. 31,	<b>Subject:</b> January 2021 Field and Office Manuals, Summary of Comments, and Implementation Details	Car Type: All	
2020	Summary: In October and November 2020, several	Car Owner: Yes	
	Circular Letters soliciting comments to the proposed rule changes were issued. In addition, several letters were	Repair Shop: Yes	
	issued that advised of approved changes that would become effective on January 1, 2021.	<b>Comments:</b> Note, unless otherwise noted in the circular letter comments, the rule changes are for	
	<b>Implementation Date:</b> Refer to this circular shows a recap of their status.	the Field Manual.	

C-13728	Subject: Implementation of Revisions to Figures 267-A,	Car Type: Open Top Gondolas
Jan. 4, 2021	287 and 298 from Section 2 of the AAR Open Top Loading Rules Manual (OTLR).	Car Owner: No
	<b>Summary:</b> The AAR's Open Top Loading Rules Committee (OTLRC) has approved the noted revisions within this	Repair Shop: No
	circular to Figures 267-A, 287 and 298 from Section 2 of the AAR Open Top Loading Rules (OTLR) Manual.	Comments: Carriers are asked to ensure that copies of this circular letter are distributed to all
	Implementation Date: The implementation of these revisions is effective immediately and will be included in the next edition of the OTLR manual. In the interim, insert the circular attachments for Figures 267-A, 287 and 298 from Section 2.	concerned shippers and railroad personnel for their attention and guidance.
C-13730 Jan. 5,	Subject: Office Manual Rule 107, Freight Car Index Factor.	Car Type: All Freight
2021	<b>Summary:</b> The AAR Car Repair Billing Committee has approved the 2020 Freight Car Index Factor of 201 to be	Car Owner: No Change
	used in 2021. The Freight Car Index Factor is based on the Freight Car Producer Price Index from October 1, 2019 to	Repair Shop: No Change
	September 30, 2020.	<b>Comments:</b> This number is unchanged from the 2019 Freight
	<b>Implementation Date:</b> The new Freight Car Index Factor is published in Rule 107 of the Office Manual and is effective January 1, 2021.	Car Index Factor.
C-13731 Jan. 5,	Subject: Implementation of Retirement of MSRP Section M, Recommended Practice – RP-545 Testing Electrical	Car Type: Locomotive
2021	Components.	Car Owner: No
	<b>Summary:</b> This Circular is to notify the industry of the retirement and archival of MSRP Section M,	Repair Shop: No
	Recommended Practice – RP-545 Testing Electrical Components.	<b>Comments:</b> If Section M is a required publication for your location, remove and discard RP-
	<b>Implementation Date:</b> Effective immediately, RP-545 is retired.	545.

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C-13729 Jan. 7,	<b>Subject:</b> Implementation of Revisions to Figures 240, 244, 247, 260, 263, 267, and 285 from Section 2 of the AAR	Car Type: Open Top Gondolas
2021	Open Top Loading Rules Manual (OTLR).	Car Owner: No
	<b>Summary:</b> The AAR's Open Top Loading Rules Committee (OTLRC) approved implementation of the revisions to	Repair Shop: No
	figures 240, 244, 247, 260, 263, 267, and 285 from Section 2 of the AAR Open Top Loading Rules (OTLR) Manual.	<b>Comments:</b> Carriers are asked to ensure that copies of this circular
		letter are distributed to all
	<b>Implementation Date:</b> The changes to Sections 2 OTLR are effective immediately and will be included in the next	concerned shippers and railroad personnel for their attention and
	edition of the AAR's OTLR Manual. Insert this circulars attachment for Figures 240, 244, 247, 260, 263, 267, and	guidance.
	285 in your Section 2, if this is a required publication for your facility.	
C-13735 Jan. 12,	<b>Subject:</b> Informational Circular Letter, CRB Data Exchange Error Code 4T.	Car Type: Freight
2021		Car Owner: Yes
	<b>Summary:</b> The intent of the circular letter is to clarify details regarding the implementation of new CRB error	Repair Shop: Yes
	code 4T and inform users of a change to the error code	
	language to be added to the CRB Procedures Manual.	<b>Comments:</b> It is recommended that this information be shared with all
	<b>Implementation Date:</b> During the informational period, the 4T error will apply to all repair dates. That is, users will	Car Repair Billing and IT personnel in your company that work with or
	receive the informational 4T error for detail source JD and	are responsible for Car Repair
	JI repairs submitted after 7 account months, regardless of repair date. After the July 2021 run of the CRB Data	Invoices submitted to and/or received from Railinc's Data
	Exchange, the edit will become mandatory and will apply exclusively to repair dates January 1, 2021 and greater.	Exchange.
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C-13736 Jan. 19,	<b>Subject:</b> AAR Manual of Standards and Recommended Practices (MSRP), Section K-I Railway Electronics Systems	Car Type: Railroads
2021	Architecture and Concept of Operations, Standard S-9058.V1.0.	Car Owner: No
	Summary: ITCTM relies on participants providing their	Repair Shop: No
	own ITCTM interface with their ticketing system per the	Comments: If Section K is a
	ITCTM ICD and the ITCTM requirements with the use cases within this document. Reference the ITCTM ICD for ITCTN	required publication for your location, be sure to update your
	architecture and message descriptions with examples.	manual with this revised Standard S-9058.V1.0.
	Implementation Date: This Standard will be incorporated	
	in the next issue of the MSRP, Section K-I Railway Electronics Systems Architecture and Concept of	
	Operations.	
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C-13738 Jan. 26, 2021	Subject: Revisions to Field and Office Manuals, Rule 125, Early Warning – Delayed until June 28, 2021.  Summary: Circular C-13711, issued November 24, 2020, announced the delay of the rewrite of Field and Office Manuals Rule 125 and the Early Warning System to April 1, 2021.  Implementation Date: In second quarter 2021, the changes to the Field and Office Manuals will be implemented via circular, effective June 28, 2021.	Car Type: Freight  Car Owner: Yes  Repair Shop: Yes  Comments: Additional industry feedback was received prompting the delay of the implementation of these revisions to June 28, 2021.  No further delays are anticipated.
	Changes Pending 30-Day Comment Period from	Date of Circular
C-13732 Jan. 5, 2021	Subject: Solicitation of Comments on the Retirement and Archiving of MSRP Section M, Standard – S-5512 Locomotive Event Recorder Download Standard.	Car Type: Locomotives Car Owner: No
	Summary: This Circular is to notify the industry of and to solicit comments on the proposed retirement and archival of MSRP Section M, Standard S-5512.  Implementation Date: The railroad community is asked to provide any feedback, if needed. Following the 30-day comment period it is the intention of the Locomotive Committee to remove S-5512 from MSRP, Section M.	Repair Shop: No  Comments: Questions should be directed to Mike Fore, Director-Technical Services.
C-13733 Jan. 12, 2021	Subject: DRAFT S-9451A.V2.0 - ITCSM WIU Asset-Specific Requirements for Interoperable Train Control is available for review and comment. In support of AAR's MSRP, Section K-V, Electronics Environmental Requirements and Systems Management.  Summary: The Positive Train Control Interoperability Committee (PTCIC) of the Association of American Railroads (AAR) is publishing DRAFT Standard S-9451A.V2.0 - ITCSM WIU Asset-Specific Requirements for Interoperable Train Control is available for review and comment.  Implementation Date: In order to provide time for review and comment, this document will have a 15-day comment period. The time begins with the date posted of 1/12/2021.	Car Type: Railroads Car Owner: No Repair Shop: No Comments: he DRAFT document, Comment Form and web link are noted within this circular.

Subject: DRAFT S-9452A.V2.0 - ITCSM WIU Asset-Specific	Car Type: Railroads
Data Dictionary for Interoperable Train Control is available for review and comment. In support of AAR's MSRP, Section K-V, Electronics Environmental Requirements and	Car Owner: No
Systems Management.	Repair Shop: No
Summary: The Positive Train Control Interoperability Committee (PTCIC) of the Association of American Railroads (AAR) is publishing DRAFT Standard S-9452A.V2.0 - ITCSM WIU Asset-Specific Data Dictionary for Interoperable Train Control is available for review and comment.	Comments: he DRAFT document, Comment Form and web link are noted within this circular.
Implementation Date: In order to provide time for review and comment, this document will have a 15-day comment period. The time begins with the date posted of 1/12/2021.	
Subject: Precision Navigation Module Interface Control	Car Type: Locomotives
support of AAR's MSRP, Section K-II, Locomotive	Car Owner: No
Electronics and Train Consist System Architecture.	Repair Shop: No
Summary: The Railway Electronics Standards Committee	nepair snep. No
(RESC) of the Association of American Railroads (AAR) is publishing DRAFT Standard S-9103.V1.0 - Precision Navigation Module Interface Control Document (ICD) for review and comment.	Comments: This document specifies an industry-standard ICD that defines high precision navigation messages for PNM's on locomotives. This standard applies
Implementation Date: Comments regarding the DRAFT may be submitted by using the Comment Form found on the SharePoint site and emailing the completed form to submitcomment@aar.org. The DRAFT document and Comment Form can be found at the link noted in this circular.	to all new PNM devices ordered after January 1, 2021.
	Data Dictionary for Interoperable Train Control is available for review and comment. In support of AAR's MSRP, Section K-V, Electronics Environmental Requirements and Systems Management.  Summary: The Positive Train Control Interoperability Committee (PTCIC) of the Association of American Railroads (AAR) is publishing DRAFT Standard S-9452A.V2.0 - ITCSM WIU Asset-Specific Data Dictionary for Interoperable Train Control is available for review and comment.  Implementation Date: In order to provide time for review and comment, this document will have a 15-day comment period. The time begins with the date posted of 1/12/2021.  Subject: Precision Navigation Module Interface Control Document (ICD) is available for review and comment. In support of AAR's MSRP, Section K-II, Locomotive Electronics and Train Consist System Architecture.  Summary: The Railway Electronics Standards Committee (RESC) of the Association of American Railroads (AAR) is publishing DRAFT Standard S-9103.V1.0 - Precision Navigation Module Interface Control Document (ICD) for review and comment.  Implementation Date: Comments regarding the DRAFT may be submitted by using the Comment Form found on the SharePoint site and emailing the completed form to submitcomment@aar.org. The DRAFT document and Comment Form can be found at the link noted in this

C-13740 Jan. 28, 2021	Subject: Interoperable MOTES System Architecture-A System for Monitoring Freight Industry Rolling Stock is available for review & comment. In support of AAR's MSRP, Section K-I, Railway Electronics Systems Architecture & Concepts of Operations  Summary: The Railway Electronics Standards Committee (RESC) of the Association of American Railroads (AAR) is publishing DRAFT Specification M-9020.V1.0 - Interoperable MOTES System Architecture - A System for Monitoring Freight Industry Rolling Stock for review and comment.  Implementation Date: In order to provide time for review and comment, this document will have a 30-day comment	Car Type: Railroads  Car Owner: No  Repair Shop: No  Comments: Refer to this circular for the form and link to provide comments.	
	period. The time will begin with the date posted above.		
General Information for the Industry			
C-13737 Jan. 19, 2021	Subject: AAR M-1003 Quality Assurance Audit Requirements During the COVID-19 Pandemic.  Summary: The AAR is providing this notice to the industry as questions have arisen regarding obtaining and maintaining AAR M-1003 Certifications during the COVID-19 pandemic. This announcement supplements AAR Circular Letter C-13545 "Restart of AAR Inspections and Audits".  Implementation Date: Guidelines for conducting the M-1003 audits are noted within this circular for North America as well as foreign locations.	Car Type: Entire Industry  Car Owner: Yes  Repair Shop: Yes  Comments: Refer to this circular for AAR's suggestions and guidance recommendations.	
C-13741 Jan. 29, 2021	Subject: Spring 2021 AAR Technical Committee Meetings.  Summary: With respect to the Covid-19 AAR has determined that for the first half of 2021, it is appropriate to arrange teleconferences or webinars in lieu of holding face-to-face meetings. Included in this circular is a list of those committees now planning with this approach in mind.  Implementation Date: Industry Information.	Car Type: Industry Information  Car Owner: Possible  Repair Shop: Possible  Comments: Review the noted AAR Committee meeting dates to determine if your representation is necessary. Contact the staff person listed if you have an item you wish to have considered by a specific committee.	